

学校编码: 10384

分类号_____密级_____

学号: 14320051402888

UDC _____

厦门大学

博士学位论文

我国收入分配与经济增长的互动关系研究

On the Interaction between the Income Distribution and the
Economic Growth in China

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论文提交日期: 2008 年 4 月

论文答辩日期: 2008 年 月

学位授予日期: 2008 年 月

答辩委员会主席: _____

评 阅 人: _____

2008 年 4 月

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内容摘要

自从人类社会形成以来,社会发展的每个阶段都必须根据当时的社会发展状况以及以此为基础形成的政治经济关系形成某种形式的财富分配制度。分配关系对社会经济的发展起着重要的影响。反过来,社会经济的发展也会促进新的分配关系的产生。收入分配和经济增长的互动关系在社会发展的每个阶段都会演绎出新的话题,也会给经济思想增添新的内容。这样,收入分配和经济增长的互动关系总是理论研究的热点问题之一。但是迄今为止人们对收入分配与经济增长之间究竟是一种什么样的关系,从理论上尚未给出一个令人满意和十分有效的解释,相应地,也就无法针对收入分配与经济增长之间的现实冲突和矛盾提供有效的政策思路。因此有必要根据现实的经济条件和环境变化并运用科学的思维方式和研究方法对此问题再做深入系统的分析和探讨。

除导论外,本文分为四章。

导论部分主要介绍了写作本文的目的和意义,并对国内外的相关研究做了进一步的阐述。目前,我国居民的收入差距越来越明显,已经成为困扰我国经济发展的一个重要问题。一部分居民的收入有了明显增长,生活水平极大提升,但是也有一部分居民的收入水平并没有得到明显的改善,这部分居民的收入水平与其他人的收入水平逐渐扩大,感觉受到了掠夺,威胁经济发展的稳定性,甚至影响国家安定团结。因此,对经济增长和收入分配之间互动关系的研究还是重要的理论和现实意义的。

第二章主要是对建国以来我国收入分配方式和经济增长做了简单的总结。改革开放以前,我国农村和城市实行不同的分配方式,形成了特殊的“二元分配方式”。“文革”期间,收入分配方式遭到了严重的破坏,而且随着经济的发展,这种建立在计划经济体制基础上的分配方式的局限性也逐渐显现出来,因此,收入分配方式的变革成为了我国改革开放政策重要的一项内容。改革开放之后,我国进入社会主义市场经济建设时期,收入分配方式也进行了很大的变革,并逐步形成了以按劳分配形式为主体,多种分配形式并存的收入分配体制。同时,根据收入分配方式变化的不同阶段,分析了改革开放前后我国经济增长的状况,发现伴随收入分配方式变化前后经济增长也发生了很大的变化。收入分配和经济增长的这种变化,主要是由于两者之间的相互影响造成的。

第三章主要介绍了收入分配和经济增长之间互动关系的定义和主要类型，并分析了两之间互动影响方式。另外，本章还重点阐述了收入分配和经济增长之间的互动的途径和促进两者良性互动的机制。收入分配和经济增长之间的影响是相互的，经济增长影响收入分配的公平性，反过来，收入分配方式和收入分配的公平性也会影响经济的健康发展。收入分配和经济增长之间的互动关系主要是通过经济的产业结构、储蓄和需求结构相互影响。因此，收入分配和经济增长之间实现良性互动需要建立有利于经济持续、稳定、协调增长的生产资料所有制(财产所有权)制度；建立合理、优化的产业结构、地区结构、劳动力结构和技术结构保证经济增长和转变政府职能，利用宏观调控等方式引导需求结构的变化。

第四章主要是利用实证的分析方法分析我国收入分配差距现状和我国收入分配差距与经济增长之间的关系。我们计算衡量收入分配差距的 GE 系数，结果表明不管是绝对收入还是相对收入城乡居民收入存在明显的差距，因此，缩小城乡居民的收入差距是缩小我国居民收入差距的关键，而提高农村居民的收入水平又是缩小城乡居民收入差距的有效途径。我们在向量自回归模型的基础上建立了误差修正模型，并进行了脉冲响应分析和 Granger 因果关系检验，结果表明，收入分配差距和经济增长之间存在长期稳定的关系，且短期的均衡关系反应更加灵敏，从长期来讲变化相对缓慢；而且随着经济的发展我国的收入分配差距也在扩大。在经济增长的过程中，一开始，经济增长导致收入分配趋于公平，但是随着经济的进一步发展，收入分配也开始出现不公平的现象，而且这种情况越来越严重。同时，我还分析了影响经济增长和收入分配的因素，发现很多因素在统计上都显著的影响经济增长和收入分配差距，这就可以帮助我们实现经济增长和收入分配平衡的双赢。

第五章主要分析了如何减少收入差距，促进经济的健康稳定发展。我们主要从加快经济发展、完善经济体制等九个方面分析减少收入分配差距，并保持经济的持续健康稳定的发展。

关键词：经济增长；收入分配；互动关系

Abstract

We can easily find out a phenomenon: there's a different income distribution pattern which meets the different level of the economic development. On one hand, the income distribution pattern has an important influence on economic development. On the other hand, economic development also has influence on the distribution pattern. The interaction between the economic growth and income distribution will bring different topics in different development stages of a society and gives some contribution to economics theory. So, the interaction between the economic growth and income distribution is always the hot topic in the researching of economics theory. But what is the real relation between the economic growth and income distribution is a question which people have not yet theoretically given a satisfactory and effective explanation to. Correspondingly, people are unable to offer the effective policies directly to solve realistic conflicts and contradictions between income distribution and economic growth. Consequently, it is necessary to use the scientific mode of thinking and researching approach to deepen the analysis and discussion systematically again as to this question according to realistic economic conditions and environment.

This dissertation falls into five parts.

The introduction mainly shows the significance and the target of writing this dissertation. I review the research on this topic in the history. Nowadays, the income gap has become an important problem to retard the economic development. Some people's income gets obvious increase and life level has been improved. But others' income can not be obviously increased. The gap of life level becomes extended. The extension has hurted the stability of economic growth and even affected country solidarity negatively. So, the research of the interaction between the income distribution and the economic growth has great significance.

In chapter 2, I review the pattern of income distribution after 1949. Before 1978, government adopted different distribution policy in urban and in rural area. From 1966 to 1976, this pattern of income distribution was destroyed. With economic development, the limitation of the distribution pattern based on planned economy appeared. Naturally, the reform of income distribution became an important part of reform policy. After 1978, Chinese economy began to transfer to the market economy and the pattern of income distribution was changed greatly. Gradually, the new pattern of income distribution formed. Meanwhile, the relation between income distribution and economic growth was changed by the interaction between each other.

In chapter 3, I introduce the definition of the interaction between income distribution and economic growth. I also state the approach to improve the interaction

between income distribution and economic growth. Economic growth can influence the income distribution. And the income distribution can also apply influence on the economic growth. This mutual relation can be fulfilled by the industry structure, deposit and demand structure. Therefore, the positive mutual relation can be built up if necessary institutional factors are available.

In chapter 4, I analyze the situation of the income gap in China and the interaction between the economic growth and the income distribution by empirical method. I calculate the GE coefficient of income gap. The result shows that the income gap between the urban and the rural area is obvious in the sense of both absolute income and relative income. Therefore, narrowing income gap between the urban and the rural area is the key to narrow the total income gap. Accordingly, the effective method of decreasing income gap is to increase the income level of rural people. And I build the error correction model on the base of vector auto-regression and make the impulse responses and granger test. The result shows that the income distribution has stable long-term relation with the economic growth. And the short-term relation is more sensitive than the long-term relation. In the process of economic growth, the economic growth results in the fair pattern of income distribution at the beginning. But with economic development the pattern of income distribution becomes unfair and the situation will be worse. Also, I analyze the relevant factors affecting income distribution and economic growth. I find that some factors have obvious influence both on income distribution and on economic growth.

In chapter 5, I analyze how to narrow the income gap and improve the stable and healthy development of economy. I give the nine suggestions to decrease income gap, improve the performance of the economy and keep the development of economy stable and healthy.

Key word: Economic Growth; Income Distribution; Interaction

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